

690-009315-US (PAR)

Patent Application Papers Of: Edward B. Caruthers

For: A Method and System for Adjusting Color Mixing Due
to Substrate Characteristics

09029907 073400

A Method and System for Adjusting Color Mixing Due to Substrate Characteristics

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to document processing machines and, more particularly, to document processing machines adapted to color printing.

2. Prior Art

Referring to Fig. 1, there is shown a perspective view of a document processing apparatus 5. The apparatus 5 could be any suitable type of document processing apparatus, such as a copier, a facsimile machine, a scanner, or a computer printer adaptable to color processing and printing on a printable substrate.

One specific application of color processing is customer selectable color printing, wherein a very specific color is required. Customer selectable colors are typically utilized to provide instant identification and authenticity to a document. As such, the customer is usually concerned that the color meets particular color specifications. For example, the red color associated with the XEROX™ digital stylized "X" is a customer selectable color having a particular shade, hue and color value. Likewise, the particular shade of orange associated with Syracuse University is a good example of a customer selectable color. A more specialized example of customer selectable color output can be found in the field of custom color, which specifically refers to registered proprietary colors, such as used, for example,

09669907-073100

in corporate logos, authorized letterhead and official seals. The yellow associated with KODAK™ brand products, and the brown associated with HERSHEY™ brand products are good examples of exacting custom colors which may be required in a highlight color or spot color printing application. However, the various colors typically utilized for standard highlighting processes generally do not precisely match customer selectable colors. Moreover, customer selectable colors typically cannot be accurately generated via halftone process color methods because the production of solid image areas of a particular color using halftone image processing techniques typically yields non-uniformity of the color in the image area. Further, lines and text produced by halftone process color are very sensitive to mis-registration of the multiple color images such that blurring, color variances, and other image quality defects may result.

As a result of the deficiencies noted above, customer selectable color production in electrostatographic printing systems is typically carried out by providing a singular premixed developing material composition (e.g., ink) made up of a mixture of multiple color toner particles blended in preselected concentrations for producing the desired customer selectable color output. This method of mixing multiple color toners to produce a particular color developing material is analogous to processes used to produce customer selectable color paints and inks. In offset printing, for example, a customer selectable color output image is produced by printing a solid image pattern with a premixed customer selectable color printing ink as opposed to printing a plurality of halftone image patterns with various primary

09669907-073100

5

10

30

various customer selectable color documents. To that end, replaceable containers of premixed customer selectable color developing materials corresponding to each customer selectable color are provided for each print job.

5 Replacement of the premixed customer selectable color or substitution of another premixed color between different print jobs necessitates operator intervention and print job halts, among other undesirable requirements. Also, since each customer selectable color is typically
10 manufactured at an off-site location, supplies of each customer selectable color printing ink must be separately stored and inventoried for each customer selectable color print job.

15 In addition, it is known that properties of the printable substrate also affects the color of the print. Many paper substrates are slightly off-white in the direction of blue or green or yellow. Such paper tint can shift the print color away from the customer-selected color, even
20 though the developed ink layer is maintained exactly at its specified value. It is further known that the reflectivity of the substrate also effects the print color. More reflective substrates may result in color with higher gloss, higher brightness and higher measured
25 color saturation than might otherwise be desired.

SUMMARY OF THE INVENTION

In accordance with one embodiment of the invention, a method for adjusting fractional amounts of primary
30 colorants to be combined to substantially match a user selected color and substrate characteristics in a device adapted to color printing on a substrate. The method

00669907 073100

comprising the steps of determining a compensated target transmission spectrum, $T_{comp}(\lambda)$ of a printable ink layer; optimizing an uncompensated target transmission spectrum, $T_{un-comp}(\lambda)$ of a printable ink layer; and comparing $T_{un-comp}(\lambda)$ with $T_{comp}(\lambda)$ plus a predetermined delta and selecting fractional amounts of primary colors to be combined to substantially match the user selected color and the substrate characteristics.

10 In accordance with another embodiment, a method for determining optical characteristics of a substrate to be printed on and adjusting color components of a desired color to compensate for the optical characteristics. The method comprising the steps of determining optical characteristics of a desired color; determining optical characteristics of the substrate to be printed on; and comparing the optical characteristics of the desired color and the optical characteristics of the substrate to be printed on and adjusting the color components of the desired color to compensate for the optical characteristics of the substrate to be printed on.

25 In accordance with another embodiment, a color mixing system in an apparatus adapted to printing color documents. The system comprising a source of a plurality of primary colorants; at least one optical characteristic source; at least one user interface; and a controller connectable to the at least one optical characteristic source and the at least one user interface, wherein the controller controls mixing of a plurality of primary colors from the source of primary colorants in response

096E9907.073100

to the at least one optical characteristic source and the at least one user interface.

In accordance with another embodiment, a program storage device readable by a machine, tangibly embodying a program of instructions executable by the machine to perform method steps for adjusting fractional amounts of primary colors to be combined to substantially match a user selected color and substrate characteristics in a device adapted to color printing on a substrate. The method comprising the steps of determining a compensated target transmission spectrum, $T_{comp}(\lambda)$ of a printable ink layer, further comprising the steps of determining a color reflection spectrum, $R_{tgt}(\lambda)$, determining a substrate reflection spectrum, $R_s(\lambda)$, determining an average front surface substrate reflection, R_{fs} , combining $R_{tgt}(\lambda)$, $R_s(\lambda)$, and R_{fs} to produce the target transmission spectrum, $T_{comp}(\lambda)$; optimizing an uncompensated target transmission spectrum, $T_{un-comp}(\lambda)$ of a printable ink layer, further comprising the step of optimizing:

$$T_{un-comp}(\lambda) = \exp(-\sum_j \alpha_j MC_j(\lambda)), \quad T_{un-comp}(\lambda) = \exp(-\sum_j PMA_j \cdot d_j(\lambda))$$

where:

$\exp = 2.71828...$

α_j = a mathematical mass associated with each primary color j , PMA_j = the printed mass per unit area associated with $MC_j(\lambda)$, = the master curve associated with each primary color j , $d_j(\lambda)$ = the color absorption spectrum associated with the primary color j ,

and comparing $T_{un-comp}(\lambda)$ with $T_{comp}(\lambda)$ plus a predetermined delta and selecting fractional amounts of primary colorants to be combined to substantially match the user selected color and the substrate characteristics.

5

BRIEF DESCRIPTION OF THE DRAWINGS

10

The foregoing aspects and other features of the present invention are explained in the following description, taken in connection with the accompanying drawings, wherein:

15

Fig. 1 is a perspective view of a conventional document processing apparatus adaptable to color printing;

Fig. 2 is a schematic diagram of one embodiment of the invention; and

Fig. 3 is a method flow chart of one embodiment of the invention showing the steps for determining the fractions of colorants to be mixed.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENT

20

Referring to Figs. 2 and 3 there is shown a schematic and method flow chart of one embodiment of the invention. Although the present invention will be described with reference to the single embodiment shown in the drawings, it should be understood that the present invention can be embodied in many alternate forms of embodiments.

25

The present invention contemplates a printing system wherein the fraction of color components of the colorant material to be mixed in a supply reservoir can be adjusted to account for paper substrate properties. In

09625907 073100

5
10

15
20
25
30

light 46C transmitted through or light 46A reflected from a thin layer of the mixed colorant 46D by color sensor 48A. The proportions, e.g., the weight percents or the volume percents, of the constituent colorants of the mixed ink 42 are ascertained by Control System 52 and combined with the paper substrate characteristics from color sensor 48B. The required adjustment to the output color is then made by selectively adding additional amounts of the constituent colorant(s) from the primary ink supplies 40.

Referring also to Fig. 3, the invention determines the reflection spectrum $R_{tgt}(\lambda)$ of the user selected color, determines the reflection spectrum $R_s(\lambda)$ of the target substrate, and determines the average front surface reflectance R_{fs} of the target substrate. The invention calculates the compensated target transmission spectrum of the printable ink layer using known relations between ink transmission, substrate reflectivity and perceived color:

$$T_{comp}(\lambda) = F[R_{fs}, R_s(\lambda), R_{tgt}(\lambda)] \quad (1)$$

Where R_{fs} is the front surface reflectivity of the ink on the paper, R_s is the reflection spectrum of the substrate, and R_{tgt} is the reflection spectrum of the customer selected color. The relationship between these quantities may be determined empirically or from color models. As an example of a specific, approximate relationship, the control system may assume that

$$R(\lambda) = R_{fs} + (1 - R_{fs}) * T(\lambda) * R_s(\lambda) * T(\lambda) \quad (1a)$$

096E5907 073100

and the control system may calculate

$$T_{comp}(\lambda) = \text{SQRT}[(R_{tg}(\lambda) - R_b) / ((1 - R_b) * R_s(\lambda))] \quad (1b)$$

5 In one embodiment of the invention the next step estimates 18 a target transmission spectrum of the printable ink layer that is not compensated for the substrate properties. This estimate may come from a
10 stored data base of target colors and the appropriate transmission spectra for inks printed on a standard substrate. Or this estimate may be calculated from empirically or theoretically determined relations between printed color masses per unit area, PMA, color absorption spectra, $\alpha(\lambda)$, and transmission spectra
15

$$T_{un-comp}(\lambda) = F[PMA_j, \alpha_j(\lambda), j = 1, N] \quad (2)$$

20 where $j = 1, N$ designates the set of individual colorants to be mixed to produce the customer selected color. As an example of a specific, approximate relationship, the control system may assume Beer's law and Lambert's law and determine

$$25 \quad T_{un-comp}(\lambda) = \exp(-\sum_j PMA_j * \alpha_j(\lambda)) \quad (2a)$$

The PMA_j in equation 2a may come from a stored data base of colorant combinations known to produce different
30 selectable colors, e.g., from the Pantone® system. Equation 2a is only exact under when certain assumptions are satisfied, e.g., that light is not multiply scattered in passing through the ink layer. In other embodiments of the invention, empirical adjustments to equation 2a may

be made, e.g., to correct for multiple scattering of light in the printed ink layer.

The next step compares 20 the results of equation (1) with the results of equation (2) to determine if $T_{comp}(\lambda)$ is within a predetermined range of $T_{un-comp}(\lambda)$.

If the comparison falls outside the predetermined range the next step determines 22 if the variables used in determining $T_{un-comp}(\lambda)$ have been optimized. If the variables have not been optimized a conventional multivariate optimization (e.g., least squares, Simplex, e.t.c.) may be used to optimize 26 the PMA_j or other parameters until $T_{un-comp}(\lambda)$ is sufficiently close to $T_{comp}(\lambda)$. Otherwise the user may be notified 28 that the selected color is incompatible with the target substrate color.

If the comparison of $T_{un-comp}(\lambda)$ is sufficiently close to $T_{comp}(\lambda)$ then the fraction and color F_j of each primary colorant j to be mixed is determined 24 according to the equation

$$F_j = PMA_j / PMA_{tot} \quad (3)$$

where

$$PMA_{tot} = \sum_j PMA_j \quad (4)$$

Step 30 determines if the fractional amount F_j is below a predetermined reservoir level for that j th color and selecting an alternative combination of α_j and recalculates 36 equation (1) and re-compares 20 the results of equation (1) with the results of equation (2)

09625907 073100

to determine if $T_{comp}(\lambda)$ is within a predetermined delta of $T_{un-comp}(\lambda)$.

Steps 29 and 32 are used to control the number of times alternative solutions to $T_{comp}(\lambda)$ are determined. If loop control maximum has been set 29 and so determined 32 then the user is notified 34.

It should be understood that the foregoing description is only illustrative of the invention. Various alternatives and modifications can be devised by those skilled in the art without departing from the invention. For example, present invention relates document processing machines adapted to color printing such as, for example, ink jet printers, and is not restricted to xerographic machines. Accordingly, the present invention is intended to embrace all such alternatives, modifications and variances which fall within the scope of the appended claims.

09625907 "073100